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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

FAN et al.

Serial No.: 10/725,378   Group Art Unit: 2129

Filed: December 3, 2003   Examiner: P. Coughlan

For: SYSTEM AND METHOD FOR SCALABLE COST-SENSITIVE LEARNING

Honorable Commissioner of Patents
Alexandria, Virginia, 22313-1450

DECLARATION UNDER 37 C. F. R. §1.132

Sir:

Comes now the Declarants, Wei Fan, Haixun Wang, and Philip S. Yu, and each states and avers the following:

(1) I am a co-inventor of claims 1-33 of the above-referenced patent application and a co-inventor of the subject matter described and claimed therein.

(2) The only connection between Columbia University and the present Application is that co-inventor Fan was at one time a graduate student at Columbia University and that Lo and Stolfo, the two additional names added to the IEEE publication "Progressive Modeling", are associated with Columbia University, one having been an academic advisor to co-inventor Fan and the other otherwise on the faculty at Columbia University during that graduate student period.

(3) I hereby declare that both these above-identified individuals, Lo and Stolfo, did not contribute to the actual conception or reduction to practice of the claimed invention.

(4) I further declare that these two names were added to the IEEE publication "Progressive Modeling" only for academic courtesy and protocol and that adding their names to this publication listing of authors was not intended in any way to signify them as co-inventors of the claimed invention. I further declare that there has been no deceptive intent on my part to unfairly or improperly exclude these two individuals as co-inventors.

S/N: 10/725,378
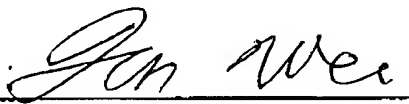Attorney Docket: YOR920030321US1 (YOR.483)

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(5) I further declare that a mistake of student status concerning these two individuals arose because co-inventor Fan was ill and unavailable during the time that the second Declaration Under Rule 132 was prepared and initially filed.
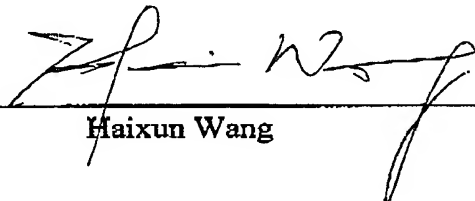
(6) Accordingly, I hereby declare that, to the extent that the above-identified publication at least partially describes the claimed invention, the conception and invention of the subject matter therein are derived solely from the co-inventors identified below and on the Declaration submitted with the above-referenced patent application.
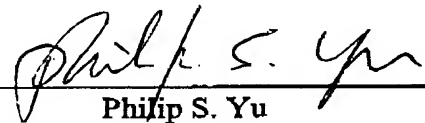
(7) Therefore, I hereby declare that the invention described in claims 1-33 of the above-referenced patent application is not by another.

VERIFICATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature: _____   Date: 12/11/07
            Wei Fan

Signature: _____   Date: 12/11/07
           Haixun Wang

Signature: _____   Date: 12/11/07
           Philip S. Yu

S/N: 10/725,378
Attorney Docket: YOR920030321US1 (YOR.483)